Impact of the Tobacco Treatment Guidelines for High Risk Groups (TOB.g): A pilot study among physicians specializing in CVD, Diabetes and COPD

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ABSTRACT

INTRODUCTION The 2017 Tobacco Cessation Guidelines for High-risk Groups (TOB-G) is a comprehensive document on best practices for smoking cessation in clinical practice. The purpose of this pilot study was to assess physician satisfaction and changes in tobacco-related knowledge, self-efficacy and attitudes following exposure to training in the TOB-G guidelines for patients with cardiovascular disease (CVD), chronic obstructive pulmonary disease (COPD), or diabetes.

METHODS A pre-post pilot study was conducted. All participating physicians received an electronic or printed copy of the TOB-G guidelines for patients with CVD, COPD or diabetes. Physicians were also exposed to a one-day training focused on the key clinical practice recommendations from the TOB.g guidelines. Outcome measurement occurred via survey before, immediately following and 6 months after exposure to the training.

RESULTS Fifty physicians participated in the TOB.g training session. High rates of participant satisfaction were documented (exceeded expectations 47.7%; met expectations to a great extent 52.3%). Significant increases in physician knowledge and self-efficacy were documented immediately following and 6 months after exposure to the guideline training session. Exposure to the training was associated with positive changes in some but not all tobacco-related treatment attitudes, however these were no longer significant at the 6-month follow-up. Lower knowledge, confidence and unfavourable attitudes were documented for aspects of treatment related to pharmacotherapy.

CONCLUSIONS Positive changes in previously reported barriers to the delivery of tobacco treatment among physicians were documented following exposure to the TOB.g guidelines and training for patients with CVD, COPD or diabetes.

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INTRODUCTION

Patients with cardiovascular disease (CVD), diabetes (DM) and chronic obstructive pulmonary disease (COPD) who smoke constitute a high-risk population of patients. There is strong evidence to show that

tobacco use exponentially increases morbidity, mortality and quality of life in these patient populations^{1,2}.

Smoking cessation is recognized as the single most powerful intervention for the secondary prevention of CVD, COPD, and this could be argued for patients with Type II Diabetes²⁻⁴. Smoking cessation produces a significant reduction in the progression of existing disease, reduces hospital re-admissions, improves response to therapy, and results in lower morbidity and all-cause mortality^{2,3,5-7}. Given this, smoking cessation should be a key priority for the secondary prevention of these chronic diseases and should be treated with the same rigour as other major risk factors¹. Providers have identified a lack of knowledge/confidence as a major barrier to the delivery of tobacco dependence treatment to patients⁸⁻¹⁰.

The Tobacco Cessation Guidelines for High-risk Groups (TOB.g) were developed by a scientific panel of experts in order to inform clinical practice of tobacco dependence treatment among five high-risk patient populations including: adolescents, pregnant women, patients with cardiovascular disease (CVD), chronic obstructive pulmonary disease (COPD), and diabetes^{1,12}. The guidelines review pathophysiology and evidence related to tobacco's effects on disease course and treatment strategies for each of the high-risk patient populations and presents clinical practice recommendations. The GRADE evidence grading system has been used to rate the quality of evidence supporting each of the clinical practice recommendations presented in the TOB.g guidelines¹³. The TOB.g guidelines are available online at http://tob-g.eu/. The TOB.g guidelines recommend that all health care professionals including cardiologists, respirologists, endocrinologists, internists, general practitioners, psychiatrists, and nurses, should be trained in the latest evidence-based tobacco treatments and be prepared to intervene with their patients who smoke¹³.

The purpose of this pilot study was to assess physician satisfaction and changes in tobacco-related knowledge, self-efficacy, and attitudes, following exposure to training in the TOB-G guidelines for patients with cardiovascular disease (CVD), chronic obstructive pulmonary disease (COPD) or diabetes.

METHODS

Design

A pre-post pilot study was conducted among a sample of physicians. Measurement occurred before (Time 1), immediately following (Time 2) and 6 months after (Time 3) exposure to the TOB.g guidelines and to a 1-day training intervention. The present evaluation focused specifically on the clinical practice recommendations for patients with CVD, COPD or diabetes. Separate evaluations were conducted for the adolescent and obstetrics populations that were reported elsewhere.

Setting and study population

This pilot intervention took place in Iasi, Romania. Physicians involved in the treatment of patients with CVD, COPD or diabetes were invited to attend the TOB.g training session. In order to participate in the study, individuals were required to meet the following eligibility criteria: 1) be a licenced physician, 2) work directly with patients with either CVD, COPD or diabetes, 3) be willing to participate in the TOB.g guideline training session. Ethics approval for the pilot TOB.g was received from the Ethics Board of the Pulmonary Diseases Hospital in Iasi, Romania.

Intervention

A 1-day training session was conducted in Iasi, Romania on 11 October 2016. The training session was designed to communicate key recommendations from the TOBG guidelines and the specific clinical practice recommendations for patients with CVD, COPD or diabetes. All participants received a hard copy of the TOB-G guideline summary at the training session and an electronic copy after the session¹. The training curriculum included an overview of the pathophysiology of tobacco use among patients with CVD, COPD or diabetes, presenting information on: prevalence of tobacco use in these populations, health risk of tobacco use, health benefits of cessation, challenges to cessation among these high risk populations of patients, overview of evidencebased counselling and pharmacological treatment strategies, and how the TOB.g clinical practice recommendations strategies can be implemented into clinical practice in both the hospital and outpatient settings. Specifically, this included an overview of the recommended 5As (ask, advise, assess, assist, arrange) model for supporting smoking cessation in a clinical setting, counselling techniques for delivering effective advice to quit tailored to the specific

patient populations, motivational interviewing techniques, counselling for individuals ready to quit, recommendations for pharmacotherapy, and follow-up in these patient populations. Case studies and role-play was used as part of the training. The training program was delivered by an international team of clinical experts and tobacco treatment specialist involved in co-authorship of the TOB-G guideline chapters.

Procedures

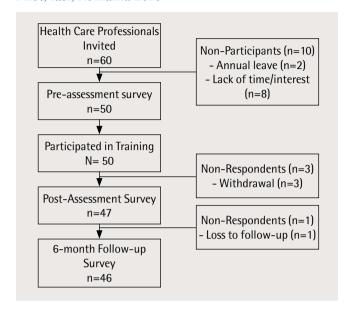
Specialists in pulmonary diseases, cardiology, internal medicine, psychiatry and general practitioners were invited to participate in the TOB.g training. All eligible participants provided a written informed consent. Consenting participants completed a provider survey immediately before (Time 1) the TOB.g training session that assessed: provider demographic characteristics, tobacco treatment knowledge, tobacco treatment selfefficacy, and current rates of tobacco treatment delivery. At the end of the training day (Time 2), participants completed a post-training survey to measure the immediate impact of the training session on outcomes of interest. Participants also completed the 6-month follow-up survey (Time 3) following the completion of the training program. The 6-month survey was sent by email or by post to participants. Two email reminders were sent, on the 7th and 14th day following the surveys' distribution, to anyone who had not responded and a phone call was made to anyone who had not responded to these reminders, before categorizing them as lost to follow-up.

A total of 60 physicians were invited to participate in the TOB.g pilot study and 50 participated in the training session (participation rate was 83.3%). The primary reasons for non-participation were a lack of interest (n=8) and annual leave (n=2). The follow-up rate was 94.4% and 92.0%, respectively, at post-training assessment (Time 2) and 6-month follow-up (Time 3). The recruitment flow diagram is presented in Figure 1.

Measures

Physician's knowledge was assessed using a 20-item knowledge assessment questionnaire. All knowledge questions were developed from information

Figure 1. Recruitment flow of the health care practitioners in the TOB-G, CVD, COPD and Diabetes Pilot, Iasi, Romania 2016



contained in the 2017 TOB.g Tobacco Treatment Guidelines and presented as part of the training intervention1. The questions included general information on tobacco treatment and knowledge questions related to each of three high risk patient populations (CVD, COPD, Diabetes). A 6-item questionnaire was used to assess participant's selfefficacy (i.e. confidence) in the delivered tobacco treatment interventions using the question 'On a scale of 1 to 10, how would you describe your confidence in the following areas (1 - being not at all confident to 10 - being very confident)'. Selfefficacy has been shown to be highly correlated to rates of tobacco treatment delivery^{14,15}. Participants' attitudes (10-items) related to recommendations and content communicated in the guideline training were assessed (i.e. role of health care professionals in tobacco treatment, recommendations regarding the integration of 5As into clinical routines, the efficacy and safety of pharmacotherapies). Responses were recorded using a 5-point Likert's scale with 1-strongly disagree to 5-strongly agree. The survey questions for self-efficacy and attitudes were based on an existing tool 16,17 and built on the work of others^{18,19}. Participants' satisfaction with the guideline training was assessed at the post-training assessment (Time 2) using only three questions: 'Overall to what extent did this workshop meet your

expectations'; with response options being: 'did not meet my expectations', 'to some extent', 'to a great extent', 'exceeded my expectations'. Additionally, participants were also asked to identify what aspects of the guidelines, training program and areas that required improvement, using free text.

Statistical analysis

Descriptive statistics were used to summarize participant characteristics. In order to evaluate the effectiveness of the TOBG guidelines on a participant's knowledge, responses were converted to dichotomous data (1=correct response, 0=incorrect response). Chi-squared analysis was performed to compare T1 vs T2, and T1 vs T3, for each knowledge question. For the analysis of continuous data for self-efficacy and intentions, paired sample t-tests were performed. Statistical significance was set at 0.05. Missing data were not replaced. Statistical analysis was performed with the use of Statistical Package for Social Sciences (SPSS) 24th edition (Canada). We examined using univariate analysis, and as appropriate regression analysis, the possible association between demographic characteristics of the sample and outcomes of interest. Qualitative data regarding measures of provider satisfaction were assessed using thematic analysis with information coded by two members of the research team and key themes extrapolated.

RESULTS

Participant's characteristics

Table 1 depicts the sociodemographic characteristics of participants. The majority of participants were female (84.0%), under 50 years of age (80.0%) and worked in a hospital (80.0%) in an urban setting (90.0%). The professional training of the majority of participants was respirology (60.0%). Participants had an average of 11.0 (±9.64) years of clinical practice experience and reported seeing an average of 46.4 (±41.16) patients per week in their clinical practice. The majority of participants (78.0%) had not previously participated in smoking cessation training. Among the physicians sampled, 66.0% had never smoked and 22.0% reported current tobacco use. The majority (62.5%) of participants identified that over of 40% of their patients were smokers.

Table 1. Descriptive characteristics of participants (n=50), Iasi Romania 2016

Variable								
Gender								
Male	8	16.0						
Female	42	84.0						
Age (Years)								
<29	8	16.0						
30-39	17	34.0						
40-49	15	30.0						
50-59	9	18.0						
60-69	1	2.0						
Professional training								
Respirologist	30	60.0						
Cardiologist	2	4.0						
Internal Medicine	5	10.0						
General Medicine	6	12.0						
Geriatrics	2	4.0						
Psychiatry	5	10.0						
Practice setting								
Solo or group practice	6	12.0						
Hospital	40	80.0						
Primary Care	2	4.0						
Ambulatory	2	4.0						
Area of practice								
Urban	45	90.0						
Rural	5	10.0						
Average patients per week,								
mean (SD)	45.8 (40.9)							
Years of practice, mean (SD)	11.3 (9.9)							
Employment status								
Part-time	3	6.0						
Full-time	47	94.0						
Previous participation in a smoking	g cessation prog	ıram						
Yes	11	22.0						
No	39	78.0						
Personal experience with the tobacco use								
Current smoker	11	22.0						
Former smoker	6	12.0						
Non smoker	33	66.0						

Provider knowledge

Table 2 presents a summary of the percentage of participants who responded correctly to each of the knowledge questions assessed. At baseline, participants demonstrated high rates of knowledge for most of the areas assessed. However, low rates of knowledge were noted, at baseline, for questions related the use of pharmacotherapy, typical duration of cravings, the need for glucose monitoring among diabetic patients who quit smoking, risk reduction

Table 2. Percentage (%) of physicians who responded correctly to knowledge assessment before and after exposure to the TOB.g guidelines and training in Iasi, Romania, 2016

	Per cent (%) correct response		p-value		
Knowledge Question	Pre (T1)	Post (T2)	6-month F/U (T3)	T1 vs T2	T2 vs T3
1. Is it safe to continue to smoke while using NRTs?	20.5	73.3	87.0	0.031	0.000
2. Which are the most effective medications in terms of increase success rates?	4.10	37.0	34.8	0.000	0.000
3. How long does a craving typically last?	20.5	87.0	76.1	0.000	0.000
4. What is the most common side effect of varenicline?	34.0	82.6	71.7	0.000	0.000
5. Nicotine replacement therapies are contraindicated for people with CVD	67.3	100.0	91.3	0.000	0.027
6. Nicotine is as addictive as other drugs such as heroin or cocaine	85.4	97.8	93.5	0.125	0.210
7. The most effective treatment for reducing the rate of COPD progression among patients who smoke is	74.5	80.4	91.3	0.453	0.018
8. Respiratory symptoms ameliorate after after quitting smoking	32.7	63.0	58.7	0.017	0.013
9. Which of the following therapies is the most effective for smoking cessation in COPD smokers?	71.4	65.2	91.3	0.664	0.006
10. Smokers with COPD find it more difficult to stop using tobacco, due to, except one:	65.3	65.2	80.4	1.000	0.109
11. Which of the following secondary prevention measures results in the greatest reduction of mortality in patients with coronary heart disease:	70.8	82.6	91.3	0.289	0.010
12. The increase in the risk of morbidity and mortality caused by coronary heart disease for persons exposed to second hand smoking is:	57.1	82.2	80.4	0.021	0.002
13. Smoking cessation provided by primary care physicians for patients with cardiovascular disease is	93.9	97.8	100.0	0.625	0.083
14. Smoking cessation after myocardial infarction reduces cardiovascular mortality by:	30.6	54.3	84.8	0.021	0.000
15. A behavioral intervention in patients hospitalized with cardiovascular disease is indicated:	98.0	100.0	100.0	1.000	0.323
16. The excess risk of cardiovascular disease in elderly smokers is	92.0	100.0	100.0	0.125	0.083
17. Smoking among diabetic patients amplifies the risk of	54.0	91.3	66.7	< 0.001	0.229
18. Due to possible deterioration in glycemic control in the first after quitting, clinicians should closely monitor glycaemia and adjust anti-diabetic medications to maintain effective glycemic control following smoking cessation.	8.2	58.7	63.0	<0.001	0.000
19. Which of the following therapies is the most efficient for smoking cessation in Diabetic smokers:	93.6	91.3	95.7	1.000	0.660
20. Due to the increased risk of bupropion is not recommended for use among DM patients using hypo-glycemic agents or insulin	36.2	54.8	65.2	0.012	0.006

Proportions represent the % of providers who responded correctly to the knowledge question at each time point. p-values were calculated using chi-squared statistics *Only those practitioners with data at both the pre- and post assessment were included in analysis.

for CVD patients and respiratory symptom reduction following smoking cessation. Significant increases in knowledge were documented for 19/27 knowledge questions; these differences were statistically significant for 14 knowledge questions. For questions for which baseline knowledge was poor, very large jumps in the proportion of respondents who answered correctly were observed at post-assessment. In the case of four of the knowledge questions, respondents were correct more than 90% of the time at baseline and no further significant increases were documented.

Self-efficacy

There was a significant increase in confidence among participants following the TOB.g training in all six areas assessed immediately following the training and changes observed remained significant at the 6-month follow-up (Table 3). At baseline, the lowest rates of self-efficacy across the six domains assessed was documented for confidence in prescribing a quit smoking medication. While a significant increase in this self-efficacy domain was seen following exposure to the TOB.g training, confidence in prescribing a quit smoking

Table 3. Participants self-efficacy and attitudes related to evidence-based tobacco treatment delivery before and after the TOB.g training program, Iasi Romania 2016

	Mean Score		p-value		
	Pre	Post	6-month		
Variable	(T1)	(T2)	F/U (T3)	T1 vs T2	T1 vs T3
Self-Efficacy ^a					
Advising patients to quit smoking	8.19	9.49	8.87	0.000	0.002
Providing brief smoking counseling (<3 minutes)	7.42	9.17	8.48	0.002	0.002
Providing counseling to patients not motivated to quit	6.65	8.73	8.61	0.000	0.000
Prescribing quit smoking medication	4.23	6.16	6.20	0.000	0.002
Providing smoking cessation counselling ready to quit	6.76	8.80	7.76	0.000	0.000
Arranging timely follow-up for patients planning to quit smoking	5.77	8.83	7.83	0.000	0.000
Attitudes ^b					
Helping my patients quit smoking is an important part of my role as a clinician (+)	4.84	4.93	4.75	0.290	0.302
Clinicians should advise patients to quit smoking even if it's not the reason for the visit (+)	4.80	4.89	4.89	0.323	0.323
Counselling by a clinician helps motivate smokers to quit (+)	4.42	4.84	4.53	0.000	0.511
Clinicians should make appointments specifically to help patients quit (+)	4.39	4.75	4.56	0.006	0.173
Smoking is a personal decision which does not concern the clinician (-)	1.89	2.04	2.04	0.564	0.564
For many tobacco users smoking is an addiction (+)	4.61	4.20	4.64	0.439	0.643
A patient's willpower alone is what will determine their success with quitting (-)	3.26	3.21	3.37	0.844	0.331
First line smoking cessation pharmacotherapies work well in helping patients quit (+)	3.86	4.16	4.02	0.000	0.578
First line pharmacotherapies for smoking cessation are not safe and have side effects that out weight their benefits (-)	2.49	1.76	2.25	0.000	0.556
I have the required skills to help my patients quit smoking (+)	3.30	4.23	3.91	0.000	0.003

a Responses reported on a scale of 1 to 10; 1-not at all confident to 10-extremely confident. b Responses were recorded using a 5-point Likert's scale; 1-strongly disagree to 5-strongly agree. + = reflects a positive attitude and higher scores reflect desired direction of change. - = reflect a negative attitude and lower scores reflect the desired direction of change. p-values were calculated using paired t-tests. *Only those practitioners with data at both the pre and post assessment were included in analysis.

medication remained lower than for other aspects of tobacco treatment delivery assessed (mean self-efficacy score: 4.23 at time 1, 6.16 at time 2; 6.20 at time 3; p<0.00). For all other aspects of tobacco treatment, the average self-efficacy score post training was greater than 8 out of 10 immediately following the training. At the 6-month assessment the average self-efficacy scores decreased slightly but remained significantly increased when compared to baseline.

Provider attitudes

Exposure to the training program was associated with significant increases in 5 of the 10 tobaccorelated attitudes assessed at the post-training assessment, however these were no longer significant at the 6-month follow-up (Table 3). A significant proportion of clinicians at baseline reported very positive attitudes and further statistically significant increases were not possible. A significant proportion of participants reported concerns about the safety of quit-smoking

medications at baseline, and while there was a significant decrease post training in this negative attitude, no change was documented at the 6-month follow-up, suggesting a portion of participants had on-going concerns about the safety of these medications despite evidence regarding their safety contained in the TOB.g guidelines. There was also the belief, documented at baseline, that patient willpower alone would determine their success with quitting, which was not found to change post training. There were no significant differences documented for measures of knowledge, self-efficacy or attitudes based on participant's demographic characteristics. The only exception being the knowledge question regarding safety of nicotine replacement therapy (NRT) for patients with CVD, for which participants who smoke were more likely to respond incorrectly compared to non smokers at baseline (p=0.044).

Satisfaction with TOB.g training

Almost half of participants (47.7%) stated that the

TOB.g training received exceeded their expectations and 52.3% reported that the workshop met their expectations to a great extent. Participants identified training content related to motivational interviewing, counselling skills and the practical cases were most useful to their own practice. Ten per cent of participants indicated that they found it difficult to absorb all of the information presented in one day and suggested expanding the training to two days. Other recommendations received to improve the TOB.g training sessions were to include more sessions and presentations with role-play scenarios and examples and the inclusion of additional case studies that were specific to the high-risk patient groups. There were no significant differences in satisfaction with the training: between male and female participants, urban vs rural setting, professional background, or personal smoking status.

DISCUSSION

Clinical practice guidelines have an important role to play in influencing professional practice and in guiding the clinical treatment. This pilot study of a training program, based on the 2017 TOB.g guidelines, documented significant improvements in physician's tobacco treatment knowledge, selfefficacy and attitudes immediately following the training. Effects observed for physician self-efficacy immediately following the training intervention were maintained at the 6-month follow-up. Changes in physician attitudes were, however, not as distinct, which for the majority of attitudes assessed this can be explained by a ceiling effect due to very positive baseline response. For those attitudes for which significant effects were documented post training, differences observed were no longer significant at the 6-month follow-up, in particular those attitudes related to medication safety and patient willpower. There are several possible reasons for this, including the intervention did not adequately address these attitudes or that other sources of information are responsible for these attitudes including a clinician's own experiences.

Importantly, relative to other areas of tobacco treatment delivery, participants reported low confidence regarding prescribing evidence-based pharmacotherapy at baseline, and while confidence following the TOB-G training was significantly improved it remained lower than in other areas assessed. Our data examining physician attitudes suggest that concerns about the safety of these medications in high-risk populations also exist, and may be influencing participant's self-efficacy. Given that these medications have very strong randomized controlled trial (RCT) evidence supporting their effectiveness in increasing rates of tobacco treatment and that their safety has been established in high risk groups, it would be important for additional practice and research initiatives to examine methods for increasing physician confidence in the use of these medications in both the general population of tobacco users and in particular among patients with diabetes, COPD or cardiovascular disease²⁰. Consideration should also be given to the amount of content covered in a 1-day training session and to alternate methods of delivering information to increase provider retention and satisfaction, as indicated in other $studies^{21}$.

While clinical practice guidelines, from international authorities for the management of CVD, COPD or diabetes, identify smoking cessation as an important clinical target, they do not necessarily provide sufficient depth to guide clinical practice in this high-risk group of patients²²⁻²⁴. The exception being the 2016 European Guidelines on Cardiovascular Disease Prevention in Clinical Practice, which offer more specific guidance on treating tobacco use in clinical practice²⁵. The TOB.g guidelines, as such, is a complementary clinical practice guidance document for specialists working with patients with CVD, COPD or diabetes. Other groups have reported the value of provider tobacco treatment training in influencing practice behaviors, and have also found significant increases in outcomes of interest²⁶⁻²⁹. Specifically, a review by the Cochrane Collaboration on training health care professionals in smoking cessation found that training increased the likelihood that providers would perform tasks of smoking cessation than untrained controls, including: asking patients to set a quit date, make follow-up appointments, counselling of smokers, provision of self-help material and prescription of a quit date. There was a documented effect on patient point prevalence abstinence $(OR=1.36, 95\% CI: 1.20-1.55, p=0.004)^{27}$. A

systematic review by Mostofian reported that active forms of medical education were more effective for guideline implementation in clinical practice than passive approaches and when key opinion leaders are involved in the delivery of training²⁶. These evidence-based training techniques were incorporated into the TOB.g training. Our study adds data to the important role of training health care professionals in clinical practice guidelines related to tobacco dependence treatment. It would be important to note that a review by Papadakis et al., which examined smoking cessation interventions in the primary care setting, reported training alone had a significant effect on both provider behaviors and patient cessation, and importantly that when training was combined with other patient and provider level interventions there was an increased effect size on these outcomes²⁸. Low cost interventions, such as the development of guidelines and training, could be further enhanced if combined with additional supports such as electronic medical record prompts, adjunct counselling, and access to low cost quit smoking medications.

Our study is important for several reasons. First, patients with CVD, COPD or diabetes who smoke are priority populations for tobacco treatment^{1,30}, despite this, low rates of intervention are reported in health care settings. Second, the majority of health care providers, including specialists working with highrisk populations, have not been trained in evidence-based tobacco treatment guidelines.

This study also has its limitations, as it was a pilot study involving one European country and a sample of fifty physicians, so replication of the study findings would be important. The lack of the control condition may also be considered a study limitation. The majority of participants were female and trained in respirology and as such may not be representative of the broader professional community. Sample size limitations meant detecting significant predictors of outcomes to be unlikely, and this may be an important question for future research. Our study used an existing tool to examine provider self-efficacy and knowledge, but it is possible that not all important aspects of these two intermediaries to clinical practice behaviors have been assessed. Additionally, all data collected were self-reported and subject to responder bias.

CONCLUSIONS

Our study found exposure to the TOB.g guidelines for High Risk Patients with CVD, COPD or diabetes was associated with increased physician knowledge of and confidence in the delivery of tobacco treatment in these priority populations. We know that both self-efficacy and attitudes are important predictors of future clinician behaviour^{15,16,18,19,31}. The TOB.g guidelines and training represent two low-cost interventions, which have the potential to be widely disseminated.

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CONFLICTS OF INTEREST

Author Panagiotis K. Behrakis is the Editor in Chief of TPC and that there are no conflicts of interest with this current work. The rest of the authors have completed and submitted an ICMJE form for disclosure of potential conflicts of interest. The authors declare that they have no competing interests, financial or otherwise, related to the current work.

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PROVENANCE AND PEER REVIEW

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